

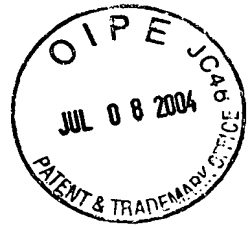
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PATENT APPLICATION
10/694,872

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nigel J. Renton, et al.
Serial No.: 10/694,872
Date Filed: October 28, 2003
Group Art Unit: 3624
For: *System and Method for Managing the Execution
of Trades between Market Makers*

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

<p>Certificate of Mailing by Express Mail No. EV 324640240 US I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37.C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.</p> <p>Name: <u>Willie Jiles</u> Willie Jiles</p> <p>Date: July 8, 2004</p>

Preliminary Amendment

Prior to the initial review of the above-referenced non-provisional patent application,
please amend the application as follows:

07/12/2004 ZJUHR1 00000069 020384 10694872
01 FC:1201 602.00 OP
02 FC:1202 46.00 DA 170.00 OP